



Maintaining the confidentiality of your personal financial information is very important to you and to Eubel Brady & Suttman Asset Management, Inc. (EBS).

INFORMATION WE COLLECT. To conduct regular business, we may collect several types of nonpublic personal information about you, including:

- Information from forms you provide to us in connection with your accounts (such as your name, address, social security number, occupation, financial goals, assets and income);
- Information about transactions in your accounts that EBS manages or monitors on your behalf (such as purchases and sales initiated by EBS or you as well as account balances).

INFORMATION WE SHARE. EBS shares information to service our client accounts and manage our business and we do not disclose any information about our customers or former customers to anyone, except as required or permitted by law. Such necessary disclosures may be made to: custodians or brokers to execute trades and process transactions on your behalf; proxy service to vote proxies on your behalf; technicians and consultants as reasonably needed to effectively administer our business and help protect our systems and records; other organizations and individuals upon your request (for example, your attorney or accountant); and as required to comply with a court order, legal process or other judicial or investigative proceeding. These service providers use the information we share only to perform the services for which we engage them, and are not permitted to use or disclose the information for any other purpose.

INFORMATION SAFEGUARDING. EBS internally safeguards information about you by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we maintain physical, electronic and procedural security measures to safeguard confidential client information. Your information remains confidential should you become an inactive client or should our relationship end.

CHANGES TO OUR PRIVACY POLICY. We reserve the right to change our privacy policy in the future, but we will not disclose your nonpublic personal information to persons other than service providers (except as may be required by law) without giving you an opportunity to instruct us otherwise.

QUESTIONS? For questions about our privacy policy, or for additional copies of this notice, please contact Terri L. King at (937) 291-1223.